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4 **Design, synthesis and antimycobacterial evaluation of some new azaheterocycles with**  
5 **4,7-phenanthroline skeleton. Part VI**  
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16 *Abstract:* A feasible study concerning the synthesis, structure and *in vitro* antimycobacterial  
17 evaluation of new 4,7-phenanthroline derivatives is reported. The preparation is straight and  
18 efficient, involving an *N*-alkylation reaction of 4,7-phenanthroline. The structure of the new  
19 compounds have been proved by elemental and spectral (IR, <sup>1</sup>H and <sup>13</sup>C NMR) analysis. The  
20 *in vitro* antimycobacterial evaluation of five synthesized compounds was investigated against  
21 *Mycobacterium tuberculosis H37Rv* under aerobic conditions, two of them being active. A  
22 certain influence of substituents from the *para* position of the benzoyl moiety was observed,  
23 the 4,7-phenanthroline-4-ium salt substituted with (*p*)chloro-benzoyl showing the most  
24 pronounced antimycobacterial activity.

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26 *Keywords:* (*p*)Halogeno-benzoyl, cycloimmonium salts.  
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28 **RUNNING TITLE: SYNTHESIS OF NEW 4,7-PHENANTHROLINE DERIVATIVES**  
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## INTRODUCTION

Phenanthroline derivatives attracted attention especially due to their biological effects,<sup>1-6</sup> crystal engineering,<sup>7-11</sup> their unique  $\pi$ -electrons delocalization<sup>12,13</sup> and complexation properties especially in the case of 1,10-phenanthroline.<sup>14,15</sup> While 1,10-phenanthroline derivatives have been widely studied both for synthesis and applications, much less interest has been shown for the other phenanthrolines because of difficulties in their synthesis.

However, there are several reports regarding biological properties of 4,7-phenanthroline and its derivatives as inhibition of several enzymes,<sup>16-18</sup> bactericide activity especially as amoebicid<sup>19</sup> or antiviral activity.<sup>20</sup> More recently, 4,7-phenanthrolines derivatives were found to stabilize triple-helix DNA<sup>21</sup> or to possess *in vitro* and *in silico* antiviral activity against single-stranded positive-sense RNA genome viruses.<sup>22</sup>

As part of our ongoing research in the field of heterocyclic compounds, especially in the synthesis of (aza)indolizine and poly(aza)indolizine derivatives *via* 3+2 cycloaddition of cycloimmonium ylides,<sup>23-25</sup> and encouraged by our previous promising results in the field of anti-TB derivatives with nitrogen heterocycle skeleton,<sup>26-30</sup> we decided to study the synthesis, structure and *in vitro* antimycobacterial activity of new 4,7-phenanthroline-4-ium salts.

## EXPERIMENTAL

### Chemistry

Melting points were recorded on an A. Krüss Optronic Melting Point Meter KSPI and are uncorrected. Proton and carbon nuclear magnetic resonance ( $\delta_H$ ,  $\delta_C$ ) spectra were recorded on a DRX-500 Bruker (500 MHz). All chemical shifts are quoted on the  $\delta$ -scale in ppm. Coupling constants are given in Hz. IR spectra were recorded on a FTIR Shimadzu Prestige 8400s spectrophotometer. All commercially available products were used without further purification unless otherwise specified.

### *General procedure for the synthesis of 4,7-phenanthroline-4-ium salts (1–8).*

28 mmol (1 equiv.) of 4,7-Phenanthroline was dissolved in 6 mL anhydrous acetonitrile. Then 30.8 mmol (1.1 equiv.) of reactive halide was added and the resulted mixture was stirred at reflux for 24 hours. The formed precipitate was filtered and washed with acetonitrile and diethyl ether to give the desired product.

The following compounds were synthesized:

*4-(2-(4-Tolyl)-2-oxoethyl)-4,7-phenanthroline-4-ium bromide (1).*

69 4-(2-(4-Methoxyphenyl)-2-oxoethyl)-4,7-phenanthrolin-4-ium bromide (2).

70 4-(2-(4-Nitrophenyl)-2-oxoethyl)-4,7-phenanthrolin-4-ium bromide (3).

71 4-(2-(4-Chlorophenyl)-2-oxoethyl)-4,7-phenanthrolin-4-ium bromide (4).

72 4-(2-(3-Methoxyphenyl)-2-oxoethyl)-4,7-phenanthrolin-4-ium bromide (5).

73 4-(2-Amino-2-oxoethyl)-4,7-phenanthrolin-4-ium iodide (6).

74 4-(Cyanomethyl)-4,7-phenanthrolin-4-ium bromide (7).

75 4-(2-Methoxy-2-oxoethyl)-4,7-phenanthrolin-4-ium bromide (8).

76 The physical and spectral data for compounds **1-8** are given in the Supplementary material to  
77 this paper.

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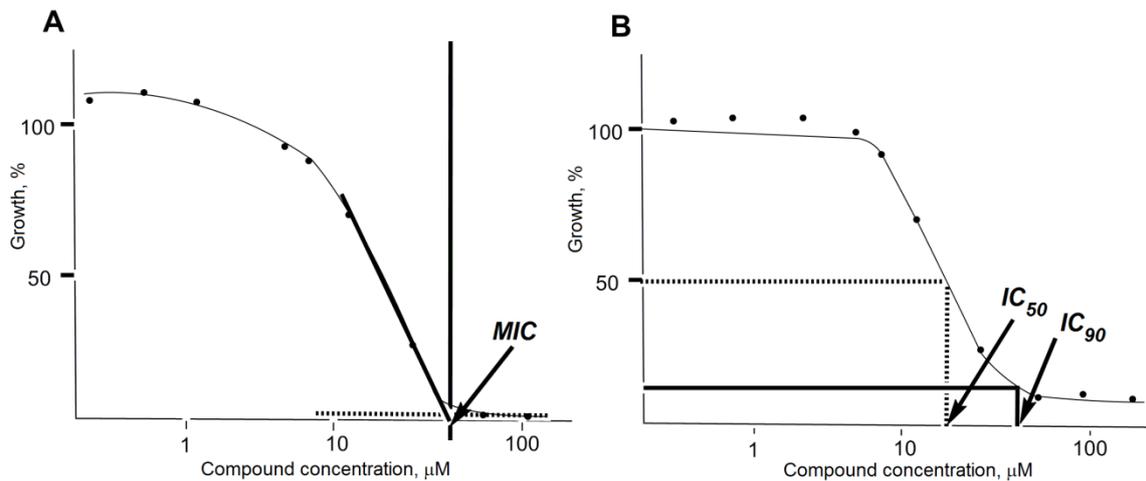
### 79 *Microbiology*

80 Compounds were evaluated for antimycobacterial activity against *Mycobacterium*  
81 *tuberculosis*, as a part of the TAACF TB screening program under direction of the US National  
82 Institute of Health, the NIAID division. Antimycobacterial activities of the compounds were  
83 performed by Center of Tuberculosis Antimicrobial Acquisition and Coordinating Facility  
84 (TAACF) at Southern Research Institute.<sup>31-34</sup>

85 *The Primary Cycle High Throughput Screening (HTS). Determination of 90 % inhibitory*  
86 *concentration (IC<sub>90</sub>), 50 % inhibitory concentration (IC<sub>50</sub>) and Minimum Inhibitory*  
87 *Concentration (MIC)*

88 The MIC of compound was determined by measuring bacterial growth after 5 days in the  
89 presence of test compounds. Compounds were prepared as 10-point two-fold serial dilutions in  
90 DMSO and diluted into 7H9-Tw-OADC medium in 96-well plates with a final DMSO  
91 concentration of 2 %. The highest concentration of compound was 200  $\mu$ M where compounds  
92 were soluble in DMSO at 10 mM. For compounds with limited solubility, the highest  
93 concentration was 50X less than the stock concentration e.g. 100  $\mu$ M for 5 mM DMSO stock,  
94 20  $\mu$ M for 1 mM DMSO stock. For potent compounds, assays were repeated at lower starting  
95 concentrations. Each plate included assay controls for background (medium/DMSO only, no  
96 bacterial cells), zero growth (100  $\mu$ M Rifampicin) and maximum growth (DMSO only), as well  
97 as a rifampicin dose response curve. Plates were inoculated with *M. tuberculosis* and incubated  
98 for 5 days: growth was measured by OD<sub>590</sub> and fluorescence (Ex 560/Em 590) using a BioTek™  
99 Synergy 4 plate reader. Growth was calculated separately for OD<sub>590</sub> and RFU. To calculate the  
100 MIC, the 10-point dose response curve was plotted as % growth and fitted to the Gompertz  
101 model using GraphPad Prism 5. The MIC was defined as the minimum concentration at which  
102 growth was completely inhibited and was calculated from the inflection point of the fitted curve

103 to the lower asymptote (zero growth) (Fig. 1A). In addition dose response curves were  
104 generated using the Levenberg-Marquardt algorithm and the concentrations that resulted in 50  
105 % and 90 % inhibition of growth were determined ( $IC_{50}$  and  $IC_{90}$  respectively) (Fig. 1B).



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107 Fig. 1. Dose response curves used to calculate  $MIC$ ,  $IC_{50}$  and  $IC_{90}$ .

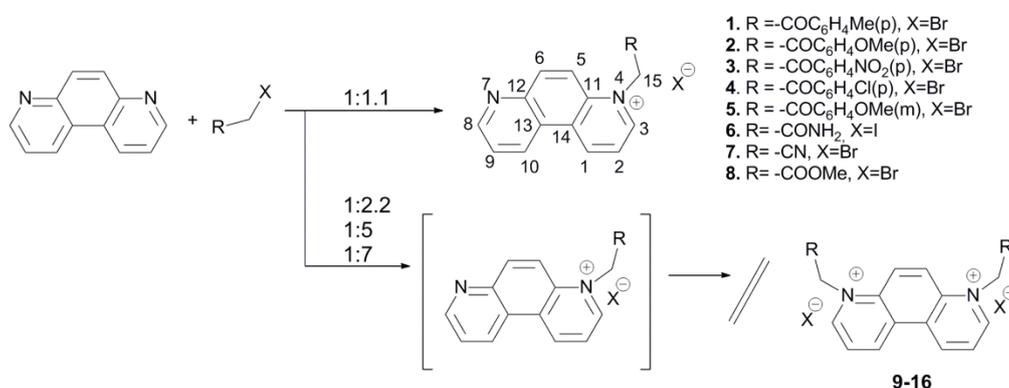
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109 Data points obtained from a dose response growth inhibition assay are curve-fitted using (A)  
110 the Gompertz model to calculate  $MIC$  and (B) the Levenberg-Marquardt algorithm to calculate  
111  $IC_{50}$  and  $IC_{90}$ . (A) The  $MIC$  is the concentration at which complete inhibition growth is seen  
112 and is derived from the point of inflection at which the curve meets the lower asymptote (zero  
113 growth). (B)  $IC_{50}$  and  $IC_{90}$  are points at which growth is inhibited by 50 % and 90 %  
114 respectively.

115  $MIC$  values were reported when the following quality control criteria were satisfied:

- 116
- For each plate
    - No growth in the background (un-inoculated) control wells
    - $OD_{590} > 0.3$  in maximum growth wells
    - Rifampicin  $MIC$  within 3-fold of the expected value
  - For each compound curve.  $MICs$  were reported if
    - There were 2 points with growth  $> 75\%$
    - There were 2 points with growth  $< 75\%$
  - If only one point was  $> 75\%$  inhibition then the  $MIC$  value was reported as the maximum concentration tested
  - If no point reached 75 % inhibition, the  $MIC$  was reported as  $>$  maximum concentration tested.
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## 127 RESULTS AND DISCUSSION

129 According with our goal, we decided to synthesize new phenanthroline derivatives: 4,7-  
 130 phenanthroline monoquaternary salts (**1–8**) and 4,7-phenanthroline diquaternary salts (**9–**  
 131 **16**). The strategies adopted for the construction of our phenanthroline derivatives is  
 132 straightforward and efficient, involving an *N*-alkylation reaction of 4,7-phenanthroline, Scheme  
 133 1.



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Scheme 1. Reaction pathway to obtain 4,7-phenanthroline-4-ium salts

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137 Unfortunately, no matter the conditions we employed, only 4,7-phenanthroline-4-ium mono  
 138 salts, **1–8**, have been obtained. As in the case of 1,7-phenanthroline<sup>26</sup>, a feasible explanation  
 139 for this behaviour could be related to the basicity of *N*<sub>7</sub>-nitrogen atom: after the *N*<sub>4</sub>-alkylation  
 140 of 4,7-phenanthroline, in the obtained phenanthroline-4-ium mono salts the basicity of *N*<sub>7</sub>-  
 141 nitrogen decreases, that a second alkylation could not take place.

142 The salts **1–8** have been prepared in moderate to good yields (58–94 %), using a minimum  
 143 volume of acetonitrile by refluxing the reaction mixture for 24 hours.

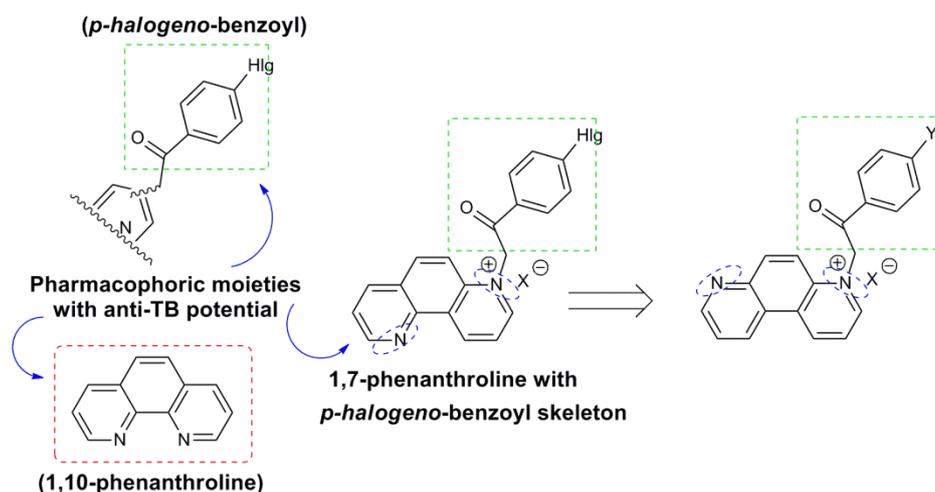
144 The structure of the new compounds was assigned by elemental and spectroscopic analysis:  
 145 IR, <sup>1</sup>H and <sup>13</sup>C NMR. IR spectra of compounds **1–6** and **8** are characterized by intense  
 146 absorption bands in the region of 1672–1698 cm<sup>-1</sup> specific to C=O stretching, whereas in the IR  
 147 spectrum of compound **7**, the cyan group furnishes an absorption band at 2201 cm<sup>-1</sup>. In the <sup>1</sup>H  
 148 NMR spectra of the new monoquaternary salts **1–5**, the signals for methylene protons H<sub>15</sub>  
 149 appear at low fields (7.07–7.20 ppm, singlet), according with the substituent from the *para* or  
 150 *meta* position of the benzoyl ring. The same protons appear more shielded (5.27 ppm, 6.58 and  
 151 6.35 ppm, respectively) for compounds **6**, **7** and **8**, due to the weaker withdrawing effect of the  
 152 adjacent carbonyl amide, cyan and ester groups. In the aromatic region, the most unshielded  
 153 protons are H<sub>3</sub> (those signals appear at 10.22–10.33 ppm) situated in the proximity of the  
 154 positive nitrogen atom N<sub>4</sub>. In the <sup>1</sup>H NMR spectra of compound **6**, the two amide protons (NH<sub>2</sub>)

155 furnished two singlet signals at 7.89 and 8.21 ppm, respectively, whereas in the spectrum of  
156 compound **8**, the methyl ester protons appear as a singlet at 3.81 ppm. In the <sup>13</sup>C-NMR spectra  
157 of compounds **1–5**, the signals for C<sub>16</sub> from C=O ketone groups appear at 190.6-188.8 ppm,  
158 while for compound **6** having an amide group, respectively for compound **8** with an ester group,  
159 appear at 165.8 ppm, and 166.6 ppm, respectively. Methylene C<sub>15</sub> atoms give signals at 63.7–  
160 64.3 ppm for compounds **1–5** and at 45.6–59.5 ppm for compounds **6–8**. All the other signals  
161 from NMR spectra are in agreement with the proposed structures.

### 162 *Design and Biological Activity*

163 In the continuing battle against *M. tuberculosis*, researchers have found that 1,10-  
164 phenanthroline skeleton and (*p*)halogeno-benzoyl moiety are usefully pharmacophoric units for  
165 the antimycobacterial activity.<sup>35,36</sup> Moreover, our recent results<sup>26</sup> in the area of 1,7-  
166 phenanthrolines salts indicate that, derivatives containing a (*p*)substituted-benzoyl moiety, have  
167 activity against *M. tuberculosis* H37Rv, the relative order of activity being (*p*)Cl- > (*p*)Br- >  
168 (*p*)methyl-.

169 Encouraged by these promising results in the field of anti-TB derivatives with  
170 phenanthroline skeleton and, especially by our recent results in the area of 1,7-phenanthrolines  
171 salts which contain a (*p*)substituted-benzoyl moiety, we decided to combine the biological  
172 potentials of 4,7-phenanthroline and (*p*)substituted-benzoyl moiety, intending to obtain  
173 compounds with better activity, better pharmacological properties and, to see if changing the  
174 nitrogen atoms position of phenanthroline from 1,10-, to more sterically released 4,7-, will  
175 affect somehow the activity, Scheme 2. We have had also in view other 4,7-phenanthroline  
176 salts with –carbalkoxy, cyan and -acetamide moiety, in order to allow structure-activity  
177 relationship (SAR) comparisons with (*p*)substituted-benzoyl salts.



179 Scheme 2. Design in the class of 4,7-phenanthroline derivatives with (*p*)halogeno-  
180 benzoyl moiety.

181  
182 A selection of compounds, salts **1–4** and **6**, were evaluated for *in vitro* antimycobacterial  
183 activity against *Mycobacterium tuberculosis H37Rv* (grown under aerobic conditions), as a part  
184 of our ongoing collaboration with the TAACF TB screening program under direction of the US  
185 National Institute of Health, the NIAID division. The MIC of compound was determined by  
186 measuring bacterial growth after 5 days in the presence of test compounds.<sup>30-34</sup> The assay is  
187 based on measurement of growth in liquid medium of a fluorescent reporter strain of H37Rv  
188 where the readout is either optical density (OD) or fluorescence. A linear relationship between  
189 OD and fluorescence readout has been established justifying the use of fluorescence as a  
190 measure of bacterial growth. MICs generated from the OD are reported in summary data. The  
191 MIC was defined as the minimum concentration at which growth was completely inhibited and  
192 was calculated from the inflection point of the fitted curve to the lower asymptote (zero growth)  
193 (Figure 1A). In addition dose response curves were generated using the Levenberg-Marquardt  
194 algorithm and the concentrations that resulted in 50% and 90% inhibition of growth were  
195 determined (*IC*<sub>50</sub> and *IC*<sub>90</sub> respectively) (Figure 1B). The strain has been fully characterized and  
196 is equivalent to the parental strain in microbiological phenotypes and virulence. The obtained  
197 results are listed in Table I.

198  
199 TABLE I. Antimycobacterial activity of phenanthroline salts **1–4** and **6** against *M.*  
200 *tuberculosis* H37Rv under aerobic conditions.

Tested compound	<i>IC</i> <sub>50</sub> / μM	<i>IC</i> <sub>90</sub> / μM	<i>MIC</i> / μM
<b>1.</b> (R=C <sub>6</sub> H <sub>4</sub> -Me <sub><i>p</i></sub> )	110	>200	>200
<b>2.</b> (R=C <sub>6</sub> H <sub>4</sub> -OMe <sub><i>p</i></sub> )	>200	>200	>200
<b>3.</b> (R=C <sub>6</sub> H <sub>4</sub> -NO <sub>2</sub> <sub><i>p</i></sub> )	>200	>200	>200
<b>4.</b> (R=C <sub>6</sub> H <sub>4</sub> -Cl <sub><i>p</i></sub> )	83	>200	>200
<b>6.</b> (R=NH <sub>2</sub> )	>200	>200	>200
Rifampicin	0.0036	0.0061	0.0055

201  
202 Also the results are not spectacular, the data from Table I illustrate that two of the five tested  
203 compounds have activity against *M. tuberculosis* H37Rv: salt **4** (substituted with (*p*)chloro-  
204 benzoyl moiety) and salt **1** (substituted with (*p*)methyl-benzoyl moiety), the first one having a

205 more pronounced antimycobacterial activity. By comparison with similar 1,7-phenanthrolin-7-  
206 ium monoquaternary salts, there is basically only a minor influence of the heterocycle on  
207 antimycobacterial activity, the  $IC_{50}$  values of the derivatives (1,7 or 4,7-phenanthroline)  
208 substituted with the same substituents being similar (*e.g.* for 7-(2-(4-chlorophenyl)-2-oxoethyl-  
209 1,7-phenanthrolin-7-ium bromide  $IC_{50} = 88 \mu\text{M}^{26}$ ; for 7-(2-oxo-2-(*p*-tolyl)ethyl)-1,7-  
210 phenanthrolin-7-ium bromide  $IC_{50} = 100 \mu\text{M}^{26}$ ).

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## CONCLUSIONS

213 The synthesis, structure and *in vitro* antimycobacterial activity of a new class of 4,7-  
214 phenanthrolin-4-ium monoquaternary halides is presented. Compounds were prepared by using  
215 a straight and efficient method of synthesis. The structure of the new compounds was assigned  
216 by elemental and spectroscopic analysis: IR,  $^1\text{H-NMR}$  and  $^{13}\text{C-NMR}$ . The *in vitro*  
217 antimycobacterial activity of the synthesized compounds was investigated against  
218 *Mycobacterium tuberculosis H37Rv* under aerobic conditions. Two of the five tested  
219 compounds have shown activity against *M. tuberculosis H37Rv*, the 4,7-phenanthrolin-7-ium  
220 derivative substituted with (*p*)chloro-benzoyl moiety being the most active.

221

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